



Beta 2 Ltd

Trading
Application Form



1. Trading Platform

By ticking this box you are informing us that you are willing to open a MetaTrader trading account hosted at Alpari, and traded by your account manager Beta 2 on an advisory basis.

2. Name and Address

Surname: _____

Forenames: _____

Title (Mr./Mrs./Miss/other): _____

Date of Birth: _____

Permanent Residential Address: _____

City/Town: _____

Country: _____

Postcode: _____

Time spent at your current address:

< 3 years >= 3 years

Provide the previous address if less than 3 years:

City/Town: _____

Country: _____

Postcode: _____

3. Contact Details

Telephone: _____

Email: _____

Where did you hear about us?

Online Forums – Please specify: _____

Search Engines

Banners on the Internet

Recommendations from Friends

4. Employment

Employed

If employed please give details (*all fields are mandatory*):

Employer's Name: _____

Nature of Business: _____

Position Held: _____

Self Employed

If self employed please give details (*all fields are mandatory*):

Business name: _____

Nature of business: _____

Retired

Student

Unemployed

If you are registered with the FSA or similar regulatory body, please tick the box.

5. Financial Background

Approximate Annual Income: _____ GBP

Approximate Savings/Investments (*excluding property*):
_____ GBP

Approximately how much of these funds are available for your trading: _____ GBP

Estimated personal Net Worth: _____ GBP

Source of these funds (*tick a box*):

Employment Investment

Inheritance Real Estate

Other (*please specify*): _____



6. Investment Experience

Do you understand the nature and risks of trading margined products? Yes No

Please indicate your investment experience (*all fields are mandatory*):

Instrument	Experience (in months)			
FOREX (Spot or Forward)	<input type="checkbox"/> No Experience	<input type="checkbox"/> 1-5	<input type="checkbox"/> 6-12	<input type="checkbox"/> > 12
Contract For Difference (CFD)	<input type="checkbox"/> No Experience	<input type="checkbox"/> 1-5	<input type="checkbox"/> 6-12	<input type="checkbox"/> > 12
Equities/Bonds	<input type="checkbox"/> No Experience	<input type="checkbox"/> 1-5	<input type="checkbox"/> 6-12	<input type="checkbox"/> > 12
Equities/Indices Futures or Options	<input type="checkbox"/> No Experience	<input type="checkbox"/> 1-5	<input type="checkbox"/> 6-12	<input type="checkbox"/> > 12
Financial/Commodity Futures or Options	<input type="checkbox"/> No Experience	<input type="checkbox"/> 1-5	<input type="checkbox"/> 6-12	<input type="checkbox"/> > 12
Spread Betting	<input type="checkbox"/> No Experience	<input type="checkbox"/> 1-5	<input type="checkbox"/> 6-12	<input type="checkbox"/> > 12

Please indicate frequency of your deals:

Instrument	Frequency of deals (per month)		
FOREX (Spot or Forward)	<input type="checkbox"/> 0-5	<input type="checkbox"/> 6-10	<input type="checkbox"/> > 10
Contract For Difference (CFD)	<input type="checkbox"/> 0-5	<input type="checkbox"/> 6-10	<input type="checkbox"/> > 10
Equities/Bonds	<input type="checkbox"/> 0-5	<input type="checkbox"/> 6-10	<input type="checkbox"/> > 10
Equities/Indices Futures or Options	<input type="checkbox"/> 0-5	<input type="checkbox"/> 6-10	<input type="checkbox"/> >10
Financial/Commodity Futures or Options	<input type="checkbox"/> 0-5	<input type="checkbox"/> 6-10	<input type="checkbox"/> > 10
Spread Betting	<input type="checkbox"/> 0-5	<input type="checkbox"/> 6-10	<input type="checkbox"/> >10

Value of Investments (GBP 000's):

Forex-

CFD's-

Equities/Bonds-

Equities/Indices Futures or Options-

Spread Betting-

Cash-

ISA's-

Investment Property-

Other-



When viewing your investment portfolio as a whole, how would you perceive that it is diversified (in percentage terms):

Low Risk: _____%

Medium Risk: _____%

High Risk: _____%

Do you have any other relevant investment experience? If so, please detail:

What is the purpose of this Trading Account:

Speculation?

Hedging?

Other?

What return would you like to achieve per annum with your Beta 2 trading account?

7. Live Accounts Details

Account Currency (please tick one) USD GBP EUR CHF JPY

8. Your Account

ADVISORY AGREEMENT

This agreement sets out the relationship between you, Beta 2 Ltd ("Beta 2") and Alpari UK ("ALP"). Beta 2 will introduce your account to ALP and your trading account will be hosted with ALP. Beta 2 will provide the following services to you in relation to FX and Precious Metals although this list is not exhaustive:

Advice and Recommendations

CLIENT CATEGORISATION

We will categorise you as a Retail Client for the purpose of the FSA rules. This categorisation provides you with the highest level of protection available to you under those rules.

INSTRUCTIONS

You will be required to sign a Power of Attorney in order for us to pass your instructions on to ALP for execution. We will not have discretion to make decisions on your account. Trades can only be placed on your trading account once your permission has been granted. You or any other person, whose authority has previously been notified to Beta 2 in writing, may at any time give instructions concerning your investments. Communications and instructions will only be valid if made in English. Beta 2 generally accepts written instructions and verbal instructions via telephone when it believes, in its absolute discretion, such instructions to be genuine. Beta 2 accepts no liability for any loss that may be incurred by an adverse movement in the instrument's price. Beta 2 may contact you on

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any matter relating to your investments subject to any restriction which you impose on it; make telephone calls to you in connection with investments to be acquired or already held and, in the interests of security, record telephone calls without use of a warning tone and use such recording as evidence of those conversations / communications.

Beta 2 may also monitor telephone calls for training purposes, with the objective of improving its service. Any query relating to a confirmation or other written communication sent to you must be raised immediately by you upon its receipt. Any statement, notice, document and other communication which Beta 2 is required or may send to you under this agreement will be sent by first class post to the permanent postal address which you have given on the application form or to such other address in the United Kingdom which you may have subsequently provided to Beta 2 or sent by fax or other electronic means to your last known fax number or email address. If posted, it will be deemed to have been sent or served at the time it would, in the ordinary course of post, be delivered. If sent by fax or electronic means, it will be deemed to have been in writing and to have been sent or served at the time of transmission. If applicable, service on any one of you is deemed to be service on all of you. Beta 2 agrees, subject to the following, to send, receive and otherwise deal with information concerning this Agreement through the computer and telecommunications network known as the Internet whether by way of e-mail or otherwise.

APPROPRIATENESS

As a retail client we are obliged to assess the appropriateness of any investments offered to you. You will be required to provide us with information regarding your knowledge and investment experience. We will need to be satisfied that you fully understand the risks involved in any investments offered to you.

SUITABILITY

In providing investment advice to you, we are required by the FSA to obtain the necessary information from you regarding your knowledge and experience in the investment field relevant to the specific type of investment or service provided to you, your financial situation and your investment objectives in order to assess the suitability of our advice and of the transactions to be entered into by us on your behalf. In particular, we must obtain from you such information as is necessary for us to understand the essential facts about you and have a reasonable basis for believing, giving due consideration to the nature and extent of the service provided, that the specific transactions to be commended, or entered into in the course of:

- (a) meets your investment objectives;
is such that you are able to financially bear any related investment risks consistent with your
- (b) investment objectives; and
is such that you have the necessary experience and knowledge in order to understand the risks
- (c) involved in any transactions.

We are entitled to rely upon any information provided by you or by any other person with your authority unless we are aware that the information is manifestly out of date, inaccurate or incomplete. If you fail to provide any information requested by us, whether by reason of unwillingness or inability to provide such information or if you provide us with inaccurate information, we will not be able to provide you with investment advice or enter into any transactions on your behalf. If we advise you that your proposed course of action is not suitable for you but you nevertheless wish to proceed with the transaction, we will only accept your order on an execution-only basis. In such circumstances, we will inform you at the time that we will execute your order on that basis. We may proceed with the transaction (subject to Applicable Regulations) even when you are acting contrary to our advice.

CHARGES

Commission and charges due may be deducted from any funds held in your Portfolio. Such charges may be shared between Beta 2 and any Connected Company or other third party. In addition Beta 2 may receive remuneration from another person in connection with transactions entered into on your behalf. If details are not shown on your confirmation(s) they are available on request.

Commission and charges are detailed in our terms of business.

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FINANCIAL SERVICES COMPENSATION SCHEME

Beta 2 Ltd participate in the Financial Services Compensation Scheme, which, subject to certain exceptions, provides limited compensation in respect of eligible liabilities if we are in default. This scheme currently covers eligible investors (as defined by the FSA) for 100% of the first £30,000 of a valid claim plus 90% of the next £20,000, up to a maximum payment of £48,000. Further information can be obtained from the FSA or the Financial Services Compensation Scheme.

FORCE MAJEURE

Save as expressly provided in these Terms and Conditions, we shall not be liable to you for any failure to perform any of our obligations hereunder by reason of any cause beyond our reasonable control, including without limitation:

- (a) the outbreak of war and hostilities or any other international calamity or political crisis, any act of terrorism
- (b) earthquake, hurricane, typhoon, flood or other natural disaster
- (c) the suspension of trading on securities or an investment exchange or the fixing of minimum or maximum prices for trading on securities
- (d) any regulatory ban on our activities
- (e) any breakdown, malfunction or failure of transmission, communication or computer facilities
- (f) industrial action, acts and regulations of any governmental or supranational bodies or authorities
- (g) the failure of any relevant intermediate broker, agent of ours, appointed provider, custodian, sub-custodian, dealer, exchange, clearing house or regulatory or self-regulatory organisation, for any reason, to perform its obligations; and the effect of such event(s) as mentioned above is such that we are not in the position to take reasonable action to cure the default. We shall endeavour to give written notice to you containing full particulars of event(s) which put the due performance of any obligations under these Terms and Conditions beyond our control but shall not be responsible for any failure for
- (g) any reason to inform you promptly or at all.

COMPLAINTS PROCEDURE

In the event of any complaint regarding your Beta 2 Ltd service you should contact the Compliance officer at Beta 2 Ltd, The Broadgate Tower, Floor 12, 20 Primrose Street, London, EC4A 2EW. The Compliance officer will investigate the matter. After investigating the complaint the compliance officer will write to you detailing the result of the investigation offering redress where appropriate. Beta 2 Ltd is covered by the UK Financial Services Compensation scheme and compensation from that scheme may be available to you.

DATA PROTECTION

For the purpose of data protection legislation, as amended from time to time, you agree that we, and our associates, may process personal data relating to you (using computer systems or otherwise) in carrying out our duties under these Terms; We have certain responsibilities under FSA rules to verify the identity of clients and may need to make certain enquiries and obtain certain information from you for that purpose. You confirm that all information you supply will be accurate and you consent to us passing on such information, as we consider necessary to comply with any reporting requirements. You agree that we, and our associates, may hold all the information you provide on computer for administration, and risk assessment purposes. We may also disclose your personal information to third party credit reference agencies in order to search their files. Such credit reference agencies will record the search. By signing the Beta 2 Trading Application Form, you consent to your personal information being used in this manner We may use, store or otherwise process personal information provided by you or us in connection with the provision of the services for the purposes of providing the services, administering your account or for purposes ancillary thereto, including, without limitation, for the purpose of credit enquiries or assessments. The information we hold about you is confidential and will not be used for any purpose except as stated in these Terms. Information of a confidential nature will be treated as such provided that such information is not already in the public domain. Information of a confidential nature may be used in the following circumstances:

- where required by law or if requested by any regulatory authority or exchange having control or
- (i) jurisdiction over us (or any associate);
- to investigate or prevent fraud or other illegal activity;

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- (ii) to any third party in connection with the provision of services to you by us;
for purposes ancillary to the provision of services or the administration of your account, including,
- (iii) without limitation, for the purpose of credit enquiries or assessments;
- (iv) if it is the public interest to disclose such information; or
- (v) at your request or with your consent.

By signing these Terms, you will be consenting to the transmittal of your data outside of the EU/EEA for the purposes outlined above.

In accordance with the Record Retention Statement below, you will not be at liberty to request the destruction or deletion of any record pertaining to yourself unless we are required to do so by force of law or other regulatory requirement. Under the Data Protection Act 1998 (the Act), and in order to facilitate our communications with you and our running of your affairs, you consent to our recording relevant personal information on our firm's computer system and when necessary disclosing such information to third parties in carrying out your instructions. We will supply to you at your request, on payment of a fee, a copy of the data relating to you and will provide you with a description of the data and the purposes for which it is processed, and with details of the source of the data and any potential recipients of the data. In the first instance, you should direct any such request to us. You should let us know if you think any information we hold about you is inaccurate, so that we may correct it. We may contact you about our products and services which we believe may interest you, unless you inform us otherwise in writing. You agree we may record all telephone calls without your specific consent. These recordings shall remain our sole property and you agree that they will be conclusive in the case of any dispute that may occur. In accordance with the legal and regulatory requirements, we will retain your records, for a minimum period of six years following the termination of any relationship between us. This period may be extended by force of law, regulatory requirement or agreement amongst us.

TERMINATION

Either party may terminate this Agreement at any time by giving the other notice in writing, which will be effective immediately. Any termination is subject to the settlement of any outstanding transactions and the payment of any charges and other amounts due (which become due and payable immediately). If you want to close your account and terminate this agreement, you must send us written and signed notification of that. Your account will not be closed merely because there is a nil balance or you have sold all of your investments. In the case of an individual, this Agreement will terminate automatically when we receive notification of your death. This Agreement will automatically terminate in the event of Beta 2 Ltd entering into insolvency, being convicted of criminal activity or being in material breach of its fiscal responsibilities.

VARIATION

You accept that Beta 2 Ltd may change or add to any of the Terms and Conditions. In the event of any variation or amendment of the agreement we will send you a written notice of the change or addition which shall include the date from which the change or addition shall be effective from. Please note that we shall not give you less than 10 business days notice of any amendments, unless it is impractical to do so. You are deemed to have consented to any alteration that may be effected by these Terms and Conditions if we do not receive notification otherwise from you, in writing, within the time that the changes were notified to you and their coming into effect.

RISK WARNING NOTICE

Trading in FX and Precious Metals is high risk. You should not deal in FX and Precious Metals unless you understand their nature and the extent of your exposure to risk. You should also be satisfied that the product is suitable for you; in the light of your circumstances and financial position. Although FX and Precious Metals can be utilised for the management of investment risk, it may not be suitable for some investors.

In deciding whether to trade in FX and Precious Metals, you should be aware of the following.

FX and Precious Metals can only be settled in cash. Investing in FX and Precious Metals carries the same risks as investing in a future or an option or other derivative product. Transactions in FX and



Precious Metals may also have a contingent liability and you should be aware of the implications of this Contingent liability investment transactions, which are margined, require you to make a series of payments against the purchase price, instead of paying the whole purchase price immediately. If you trade in FX and Precious Metals, you may sustain a total loss of the margin you deposit with your firm to establish or maintain a position. If the market moves against you, you may be called upon to pay substantial additional margin at short notice to maintain the position. If you fail to do so within the time required, your position may be liquidated at a loss and you will be responsible for the resulting deficit. Even if a transaction is not margined, it may still carry an obligation to make further payments in certain circumstances over and above any amount paid when you entered the contract. In the case of FX and Precious Metals, when commission is charged as a percentage, it will normally be as a percentage of the total contract value, and not simply as a percentage of your initial payment.

GOVERNING LAW

This agreement and each transaction entered into with you is in all respects governed by English law and the courts of England and Wales will have non-exclusive jurisdiction to settle any disputes that may arise in relation thereto. Nothing in this clause will prevent us from bringing proceedings against you in any other jurisdiction.

9. Notice and Declaration

I fully understand the nature and risks of trading Forex and other derivatives. I confirm I have read, understood and agree to be bound by the Personal Trading Account Application Form and the Terms of Business. I also understand that I can read about the mechanics of the Trading Platform on both www.beta2.co.uk and www.alpari.co.uk. These websites offer links to the Alpari (UK) Customer Agreement, Risk Acknowledgement and Disclosure and Terms of Business for Execution only business.

I am over 18 and declare that the information provided by me during the account opening process is true and correct and undertake to notify you, in writing, if there are any changes to this data. I guarantee that I do not breach any regulations of my country of residence in trading with Beta 2.

Signature _____

Date _____

10. Supporting Documents

To open your account you must provide a clear copy of your ID and address verification. Please send scanned copies to enquiry@beta2.co.uk or fax to +44 (0)20 7429 2701

If you are NOT a resident of the UK then we will require:

- ID proof: non-expired Passport, Driving licence or National ID card;
- Address verification must be dated within 3 months and include your full name and current address: Bank statement, Utility bill, Credit card statement or Tenancy Agreement (we do not accept mobile phone bill, TV licenses, electronically generated PDF bank statements or utility bills).

11. Deposit Funds: UK and International Clients

Deposits are accepted by bank transfer from the Customer's account. For GBP sterling accounts we also accept cheques drawn on a UK bank in the same name as the customer. Under no circumstances will third party or anonymous payments be accepted.

Alpari (UK) Ltd has the right to refuse payment by cheque if the cheque is drawn from a non-UK clearing bank; or any payment given has not cleared on the first presentation. For more information please contact your account manager.

12. Please send the signed application form to Beta 2 Ltd.

Email: enquiry@beta2.co.uk **Fax:** +44 (0)20 7429 2701

Post: Beta 2 Ltd, The Broadgate Tower, Floor 12, 20 Primrose Street, London, EC2A 2EW, United Kingdom
For further questions, please contact Beta 2 Ltd on +44 (0)20 7870 9600



Power of Attorney

This power of attorney is made on ____ / ____ / ____ (dd/mm/yyyy)

by [Name] _____

of [Address] _____

1. Appointment and powers

Subject to clause 3 below, I appoint Beta 2 Ltd. of The Broadgate Tower, London, as my attorney and in my name or otherwise and on my behalf:

1.1 to open trading accounts in my name with Alpari (UK) Ltd (Company Number 05284142 and whose registered office is 201 Bishopsgate, London EC2M 3AB) (Alpari UK);

1.2 to act on my behalf in giving all instructions, orders, directions, receipts and performing such other acts, discretions or duties under any agreement between me and Alpari (UK) Ltd;

1.3 to receive all documents, notes, contracts and notices sent by Alpari (UK) Ltd relating to me or any transaction entered into with or through Alpari (UK) Ltd by me or on my behalf; and

1.4 to take any steps or do anything which the Attorney in his absolute discretion considers desirable in connection with the opening, administration or closing of any accounts and/or the execution of transactions, including those of a contingent nature.

2. Ratification

I undertake to ratify and confirm whatever the Attorney does or purports to do in good faith in the exercise of any power conferred by this power of attorney.

3. Validity

I declare that a person who deals with the Attorney in good faith may accept a written statement signed by the Attorney to the effect that this power of attorney has not been revoked as conclusive evidence of that fact.

4. Indemnity

I undertake to indemnify the Attorneys fully against all claims, losses, costs, expenses, damages or liability which he sustains or incurs as a result of any action taken in good faith pursuant to this power of attorney (including any cost incurred in enforcing this indemnity).

5. Jurisdiction

This power of attorney (and any dispute, controversy, proceedings or claim of whatever nature arising out of or in any way relating to this power of attorney or its formation or any act performed or claimed to be performed under it) shall be governed by and construed in accordance with English law.

6. Execution as a deed

This document has been executed as a deed but is not delivered until it has been dated.

Applicant Signature:

Witness Signature:

Date: _____

Date: _____

Name of witness:
(Please Print)

Witness Address:

Signature of
Attorney:

Name of Attorney:
(Please Print)

Date: _____
